

# Leadsprop Pty Ltd

Registered with the PPRA

## Form 9 - FICA Pre-reporting – ONLY reports on GoAML counts. (Remember to update your info)

### Type of report:

| S28 – CTR<br>(Facts)<br>in 3 days  | S28A – TPR<br>(Facts) in 5 days  |  | S29 – STR<br>(Suspicion) in 15 days   |   |   | S31 – IFTR<br>(n/a)  |
|--|--|--|---|---|---|--|
| <b>Cash payment</b><br>above<br>R49 999.99<br>Cash, coins,<br>paper money,<br>and travellers'<br>cheques (not<br>EFTs) | <b>Terrorist financing</b><br>(TPR)<br>When property is<br>sold/let/purchased/rented<br>by: A listed terrorist on<br>the targeted financial<br>sanctions list. | <b>Financial sanctions</b><br>(TPR)<br>When property is<br>sold/let/purchased/rented<br>by: A listed sanctioned<br>person on the targeted<br>financial sanctions list. | <b>Money laundering</b><br>(STR/SAR)<br>Proceeds of<br>unlawful<br>activity | <b>Terrorist financing</b><br>(TFTR/TFAR)<br>Financing of<br>terrorist &<br>related<br>activities | <b>Financial sanctions</b><br>(STR/SAR)<br>Structuring of<br>a transaction<br>or activity to<br>avoid<br>reporting or<br>tax. | <b>Cross-border money movement</b><br>(IFTR) -<br>NOT<br>APPLICABLE<br>TO PP's |

Transaction/Activity name \_\_\_\_\_  
(The names of the parties to the transaction)

Transaction Amount: \_\_\_\_\_  
(Amounts and currency)

Transaction Date: \_\_\_\_\_  
(Date it was concluded)

Transaction location: \_\_\_\_\_  
(eg. Property location)

Goods & services / Nature of the transaction: \_\_\_\_\_  
(Sale/Lease)

**T**ransaction or **A**ctivity report: \_\_\_\_\_  
(TR/AR – is the transaction ongoing or not)

Parties involved: \_\_\_\_\_  
(Payer of funds)

\_\_\_\_\_  
(Receiver of funds)

With CTR - Cash **Received or Paid** by AI: \_\_\_\_\_  
(delete the not applicable one) (My client's name) (My client ID number)

**Note:** When the cash received **from** the client, and cash **paid out to** the client exceed the threshold amount, **two cash threshold reports** must be submitted to the FIC.

**Reason** for reporting: \_\_\_\_\_  
(suspicion or the fact - detailed descriptions)

\_\_\_\_\_

**Actions** taken: \_\_\_\_\_ (detailed descriptions)

Risk rating: \_\_\_\_ For more details, see attached FICA forms: \_\_\_\_\_

FICA COMPLIANCE OFFICER/MLRO: \_\_\_\_\_ (Name)

Mobile: +27 \_\_\_\_\_ Telephone: +27 \_\_\_\_\_ E-mail: \_\_\_\_\_ @ \_\_\_\_\_

Compliance officer signature \_\_\_\_\_ Date \_\_\_\_\_